U.S. Patent and Trademan Cifice: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known						
Application Number 09/842,790						
Filing Date	April 25, 2001					
First Named Inventor	Alan Ruth et al.					
Art Unit	1745					
Examiner Name	N/A J. MAPUES					
Attorney Docket Number	Q112-US1					

			U.S. PAT	TENT DOCUMENTS	
Examiner nitials	Cite No.1	Number Kind Code	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
W		US- 6,245,457 B1	06-12-2001	Romero	See generally
<b>V</b>		US- 6,319,628 BI	11-20-2001	Zama	See generally
•		US-			
		US-			
		US-			
		US-			OMOINALLY ENERS
		US-			Opero
		US-			WAY PAR
		US-			UY EUCHS
		US-			LED
		US-			
l		US-			

					FOR	EIGN PATENT DO	CUMENTS		
Exar Initia	niner Is*	Cite No. <sup>1</sup>	Office	Foreign Patent Do Number4	cument Kind <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
$\Box$			JP	2001297745A		10-26-2001	Alcatel	See generally	
			Ш						igsqcup
_			-		· ;		DEG		+
	$\dashv$		$\vdash$				+HECEN	/FD	+-1
							MAY 1 4 00		
							MAT 1 4 20	02	
	_						70		$\sqcup$
	1						16170	<b></b>	╙

		•		
Examiner Signature	Joseph	Date Considered	2-1-03	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

,/	<b>/</b> 0	1	_	E>	S
<u>,</u>	AU6	1	9	2002	, <b>3</b> 6
<del>\</del>	₹ <sub>RA</sub>	Di	<b>E</b>	ABY	\$ 5

Substitute for form 1449A/PTO

Sheet

Examiner

Signature

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Kno						
Application Number	09/842,790					
Filing Date	April 25, 2001					
First Named Inventor	Alan Ruth et al.					
Art Unit	1745					
Examiner Name	HA J. MAPLES					
Attorney Docket number	Q112-US1					

2-1-03

				<u>U.S</u> .	PATENT DO	CUMENTS			
Examiner Initials*	Cite No.		Document Num nber – Kind Code <sup>z</sup> (if i		Publication Date MM-DD-YYYY	Name of Patentee or Applica Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
N.	$\dashv$	US	6-6,245,457 B1		06-12-2001	Romero		See ger	nerally
01	1		6-6,258,485 B1		07-10-2001	Kitoh		See ger	nerally
W	1		-6,379,840 B2		04-30-2002	Kitoh	<del> </del>	See ger	
		US		······································	···				
	1	US							
	i	US							
		US	-						
		US	j <b>-</b>						
		US							
		US							
	ŀ	US							
		US							
		US						4	
		US							
		US							
	ŀ	US							
		US						4	SCEI
		US							7627
		US			<u> </u>			Ta	<del>\</del> \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
	—	US			<u> </u>	ļ			1
***************************************	<u> </u>	US	•						1961
					N PATENT [	OCUMENTS			JAN OK
			Foreign Patent Doo	ument					77
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> ( <i>if knowi</i>		Applicant of Cited Document	Lines Relevan or R	Columns, , Where t Passages elevant s Appear	SE CONTRACTOR OF THE PARTY OF T
8		JP	11-250934	A2	2 09-17-1999	Sanyo Electric Co Ltd		enerally	Abstract Computer translation

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form which next communication to applicant.

'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.



bostitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Comp	Complete if Known					
Application Number	09/842,790					
Filing Date	April 25, 2001					
First Named Inventor	Alan Ruth et al.					
Art Unit	1745					
Examiner Name	HA J. MAY V61					
Attorney Docket number	Q112-US1					

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No. 1	Document Number Number – Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
V		US-6,040,086	03-21-2000	Yoshida et al.	Fig. 1, Col. 7, lines 20-50					
The state of the s		US-6,139,986	10-31-2000	Kurokawa et al.	Figs. 3 & 10, Col. 5, lines 6-24					
V		US-6,197,074 B1	03-06-2001	Satou et al.	Fig. 9, Col. 5, lines 1- 21					
		US-								
	i	US-	******							
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								
		US-								

			Foreign Patent Doci	ument				
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>©</sup>
Y		JР	11-224660	Α	08-17-1999	NGK Insulators Ltd	See generally	Abstract Computer translation
			<del>.</del>					•
								2
		9						

Examiner Signature

Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form which next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPER 901.04. The Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Stind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.







Substitute for form 1449/PTO

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known					
Application Number	09/842,790				
Filing Date	April 25, 2001				
First Named Inventor	Ruth et al.				
Art Unit	1745				
Examiner Name	Unknown J.MP45				
Attorney Docket number	O112-US1				

		U.S.	PATENT DO	CUMENTS	
		Document Number			
Examiner Initials*	Cite No. 1	Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			4
		US-			0
		US-			، رسر اسنا
		US-			二 二 : 1
		US-			Ö
	T	US-			****
		US-			•
		US-			
		US-			()
	1	US-			3
		US-			o o
		US-			الملتب
		US-			*
		US-			<b>b</b> .

				FOREIG	N PATENT	OCUMENTS		
-			Foreign Patent D	ocument				
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number⁴	Kind <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°
Y		wo	01/82397	A1	11-01-2001	Quallion LLC		
•								
		l :						i

OTHER REFERENCES							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published	T²				
gr		Article 34 Amendment as filed on 11-9-2001 in relation to Application No. PCT/US01/13398					
<u> </u>		Written Opinion, dated 05-20-2002, as received in relation to Application No. PCT/US01/13398					
		Reply to Written Opinion as filed on 06-18-2002 in relation to Application No. PCT/US01/13398					
9		International Preliminary Examination Report, dated 08-05-2002, as received in relation to Application No. PCT/US01/13398					
V							

2-1-03 Examiner Signature Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form which next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

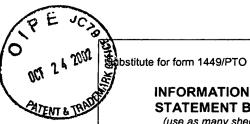
<sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>8</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known							
Application Number	09/842,790						
Filing Date	April 25, 2001						
First Named Inventor	Ruth et al.						
Art Unit	1741 (745						
Examiner Name	Unknown J. MAYUES						
Attorney Docket number	Q112-US1						

U.S. PATENT DOCUMENTS									
		Document Number							
Examiner Initials*	Cite No. 1	Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		US-2002/0142216 A1	10-03-2002	Skoumpris	See generally				
		US-							
		US-			0				
		US-			استر				
		US-			1 8				
		US-							
		US-			- 2				
		US-			₹ 57				
		US-							
		US-			1 3				
		US-			72 73				
		US-			0				
		US-			3				
		US-							

			•	FOREIG	N PATENT (	DOCUMENTS		
			Foreign Patent Do	cument				
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
X		EP	1 246 275	A2	10-02-2002	Wilson Greatbatch Limited	See generally	
								<del>                                     </del>
					1			

OTHER REFERENCES							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published	Т				
			$\dagger$				
+			+				

Examiner Signature	Josse	Date Considered	2-1-03	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form which next communication to applicant.

'Applicant's unique citation designation number (optional).

'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Wind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.



M Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Ruth et al. First Named Inventor Art Unit 1745 (use as many sheets as necessary) **Examiner Name** U<del>nknown-</del> of Q112-US1 Attorney Docket number

Application Number

Filing Date

Complete if Known

09/842,790 April 25, 2001

		U.S.	PATENT DO	CUMENTS	
		Document Number			
Examiner Initials*	Cite No. 1	Number – Kind Gode <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			0
		US-			h
		US-			0
		US-			0
		US-			<del></del> -7
		US-			72
		US-			21
·		US-			7-73
		US-			
		US-			. 0
		US-			خـ
		US-			
		US-			

Examiner Initials*	Cite No.1	Foreign Patent Document						
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
gr		JP	2000311666	A2	11-07-2000	Thomas & Betts Corp <t&b></t&b>	See generally	
TOP		JP	2000323105	A2	11-24-2000	Sanyo Electric Co. Ltd.	See generally	
<b>4</b>		JP	2001052759	A2	02-23-2001	Alcatel	See generally	

	OTHER REFERENCES						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country where published	T²				

Examiner Signature	Joseph	Date Considered	2-1-03	
	U .			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form which next communication to applicant.

1 Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.